

AMENDMENTS TO THE SPECIFICATION

Amend the paragraph beginning at page 5, line 29, to read as follows:

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The Closed Loop Compliance Process 60 is a third generation of the Early Warning System 70 as illustrated in Figure 3. The Closed Loop Compliance Process begins at 42 as discussed above. The EWS Alert is logged on an EWS Alert Log Database 72. A Senior Compliance Counsel, Business Process Owner and/or President makes a decision whether to implement pre-litigation changes to avoid litigation 74. If the answer is "No", a business decision rationale is documented 76 on the EWS Alert Log Database. If the answer is ["Ye"] "Yes", corrective action is assigned to the process owner/compliance [[owner.78]] owner 78. The compliance issues from the EWS Alert are logged on a Compliance Issues Database 80. The Corrective Action is then implemented 82. The Senior Compliance Counsel reports implementation date of corrective action to the Litigation Group 84.